# PROXIMAL HUMERUS FRACTURES

FIX, REPLACE OR NONOPERATIVE TREATMENT

AO trauma course – Advanced principles of fracture management

Copenhagen 22-26/4-2018

CARL ERIK ALM





### LEARNING OUTCOMES

EVALUATE INDICATIONS FOR NONOPERATIVE TRETATMENT, INTERNAL FIXATION AND ARTHROPLASTY

#### EPIDEMIOLOGY

↑ INCIDENS

† INCIDENS WITH AGE

WOMEN >> MEN

SURGICAL TREATMENT INCREASING

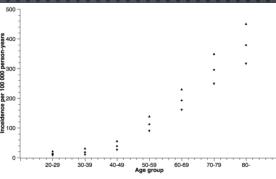
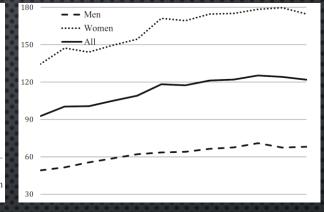


Fig. 1 Five-year mean incidences with 95 % confidence intervals in female population by age group

Launonen et al. Arch Osteporos 2015



Sumrein et al. Osteoporos.Int 2017



Sumrein et al. Osteoporos. Int 2017. Substantially increase in surgically treatment



Bell et al. JBJS 2011. 25% relative increase in surgically managed fractures

# PROXIMAL HUMERUS FRACTURES - DECISION MAKING





# NONOPERATIVE VS SURGICAL TREATMENT



**Cochrane** Database of Systematic Reviews

Interventions for treating proximal humeral fractures in adults (Review)

Handoll HHG, Brorson S

Surgery does not result in a better outcome than nonoperative treatment

.... LIKELY TO RESULT IN GREATER NEED FOR SUBSEQUENT SURGERY

### NONOPERATIVE TREATMENT

#### INDICATION

- Nondisplaced fractures
- DISPLACED 2,3& 4 PART FRACTURES
  - COMORBIDITIES, LOW DEMAND, LOW COMPLIANCE

#### CONSERVATIVE TREATMENT STRATEGY

- INITIAL SLING FOR PLAIN RELIEF
- EARLY MOBILIZATION
- STRUCTURED REHABILITATION

Phase	Duration (weeks)	Rehabilitation
1	0-3	Pendulum exercises
		Gentle active assisted motion
		Avoid external rotation for 6 weeks
2	3-9	Orthopedic sling for 2–3 weeks
		If there is clinical evidence of healing and fragments move as a unit, and no displacement is visible on the x-ray, then:
		Active-assisted motion forward and side arm elevation
		Partial functional use week 3–6
		Week 6: Add active, nonassisted motion
		Week 6: Add isometric strength
3	> 9	If there is bone healing but joint stiffness, then:
		Add manual therapy passive motion by physiotherapist
		Add isotonic strength, concentric and eccentric

#### NONOPERATIVE TREATMENT - RESULTS

#### Original Article

Functional and Quality-of-Life Results of Displaced and Nondisplaced Proximal Humeral Fractures Treated Conservatively

Carlos Torrens, MD, Monica Corrales, MD, Gemma Vilà, MD, Fernando Santana, MD, and Enrique Cáceres, MD

Current State of the Art

Nonoperative Treatment of Proximal Humerus Fractures: A Systematic Review

Jaicharan J. Iyengar, MD, Zlatko Devcic, BS, Robert C. Sproul, MD, and Brian T. Feeley, MD

**Original Investigation** 

Surgical vs Nonsurgical Treatment of Adults
With Displaced Fractures of the Proximal Humerus
The PROFHER Randomized Clinical Trial

Good pain relief

High healing rate
Good functional outcomes
Low complication rate

No difference in outcome

Amar Rangan, FRCS(Tr&Orth); Helen Handoll, DPhil; Stephen Brealey, PhD; Laura Jefferson, PhD; Ada Keding, MSc; Belen Corbacho Martin, MSc; Lorna Goodchild, MSc; Ling-Hsiang Chuang, PhD; Catherine Hewitt, PhD; David Torgerson, PhD; for the PROFHER Trial Collaborators

### NONOPERATIVE VS SURGICAL TREATMENT



**Cochrane** Database of Systematic Reviews

Interventions for treating proximal humeral fractures in adults (Review)

Handoll HHG, Brorson S

.... THE EVIDENCE DOES NOT COVER TWO-PART TUBEROSITY FRACTURES, FRACTURES IN YOUNG PEOPLE, HEAD SPLITTING, FRACTURE DISLOCATIONS +++

### FIXATION - INDICATIONS

- ABSOLUTE INDICATIONS UNCOMMON
  - OPEN FRACTURES
  - ASSOCIATED VASKULAR INJURIES
  - FRACTURE DISLOCATIONS



#### FIXATION - INDICATIONS

- STRONG INDICATIONS
  - ISOLATED TUBEROSITY FRACTURES WITH DISPLACEMENT > 5-10 MM
  - 100 % DISPLACED SURGICAL NECK FRACTURES
  - 3 & 4 PART FRACTURES WITH SEVERE DISPLACEMENT OF THE TUBEROSITIES?
  - 3 & 4 PART FRACTURES WITH ANGULATION OF THE HEAD > 30°?





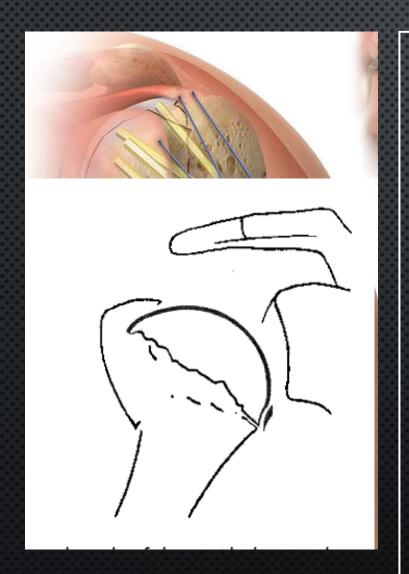
# ORIF - GOAL

#### PRESERVE FUNCTION

- RESTORE ANATOMY
- STABLE FIXATION



# CHALLANGES











## TUBEROSITY FRACTURES

- INDICATION FOR SURGERY
  - Young: 5 mm
  - OLDER: 10 MM
- FIXATION:
  - OPPOSE TENSION FORCES
  - SUTURES: SUTURE ANCHORS, BONE-TUNNELS ETC,
  - SCREW(S)









### LOCKED PLATING

- BETTER FIXATION IN OSTEOPOROTIC BONE
- INDICATION; DISPLACED 2,3 AND 4 PART FRACTURES
- GOOD FUNCTIONAL RESULTS
- COMPLICATIONS<sup>1</sup>
  - **AVN** 8% (14,5% IN C-FRACTURES)
  - SCREW CUT-OUT 12%
  - REOPERATION RATE 14%

1. THANASAS ET AL. REVIEW JSES 2009.



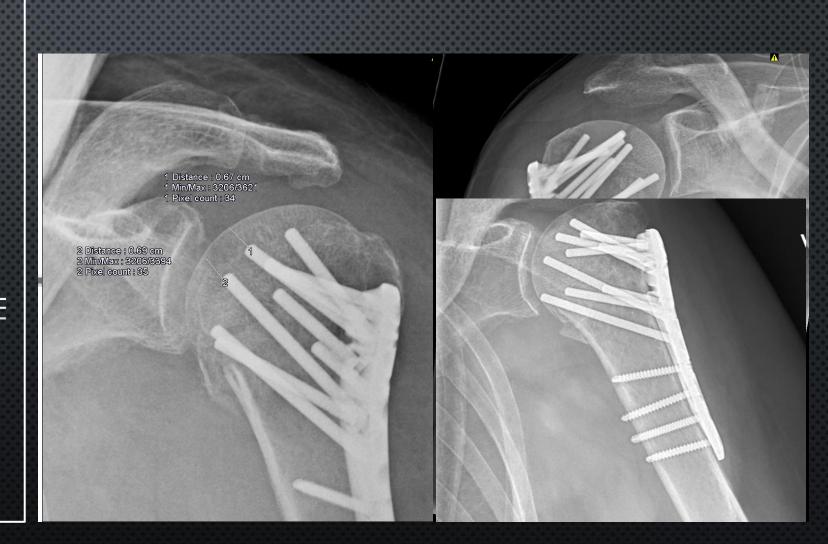
# MANDATORY

CUFF SUTURES

MEDIAL SUPPORT

ALLOW FOR SUBCIDENCE

OF CAPUT



#### INTRAMEDULLARY NAILING

#### MINIMALLY INVASIVE

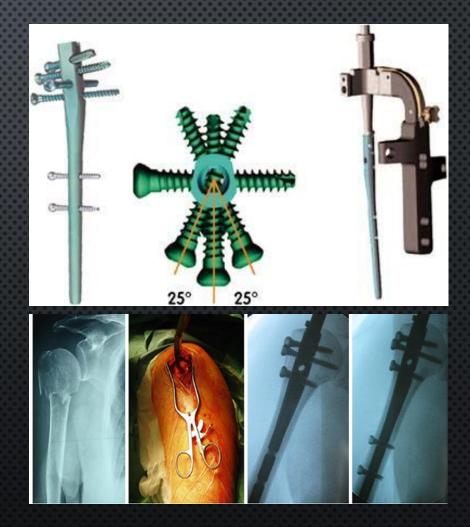
Preservation of biology

#### MODERN IMPLANT DESIGNS

- STRAIGHT NAIL MORE MEDIAL ENTRY POINT
- MULTIPLANAR LOCKING INCREASED STABILITY

#### INDICATION

- DISPLACED 2 (3&4) PART FRACTURES
- SHAFT EXTENSION/IPSILATERAL SHAFT



#### INTRAMEDULLARY NAILING - COMPLICATIONS

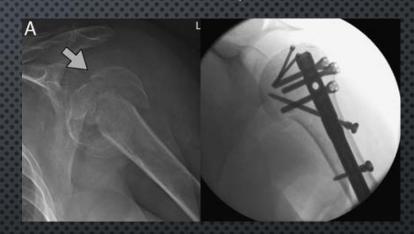
«Historical cohorts»



Complication rate 30-40%

- Nonunion
- Malunion
- Rotator cuff injuries

«Modern implants»



Comparable results to locked plating

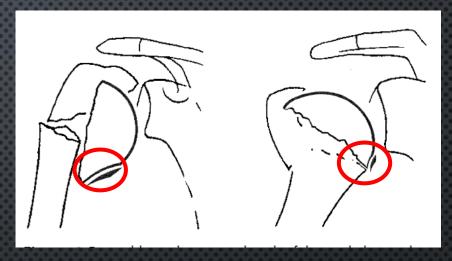
- Zhu et al 2 part
- Gracitelli et al JSES 2016 2&3 part

### REPLACEMENT

#### INDICATIONS FOR ARTHROPLASTY

- Head splitting fractures
  - > 2 PARTS?

- FRACTURE DISLOCATIONS?
  - DEPENDING ON HEAD FRAGMENT AND AGE
- 4 PART DISPLACED GERIATRIC FRACTURES?
  - AGE > 65?



Hertel et al. JSES 2004





#### HEMIARTHROPLASTY

- Well functioning cuff
- ANATOMICAL REDUCED TUBEROSITIES
- CORRECT HUMERAL HEAD HEIGHT AN VERSION
- MIXED RESULTS BIMODAL

DISTRIBUTION

- INDICATION
  - NON SALVAGEBLE HUMERAL HEAD IN YOUNG PATIENTS (<60 Y?)</li>

Prerequisite for a favorable outcome



# REVERSE SHOULDER ARTHROPLASTY

INCREASING POPULARITY

Not depending on tuberosity healing

MORE PREDICTABLE RESULTS THAN WITH HA

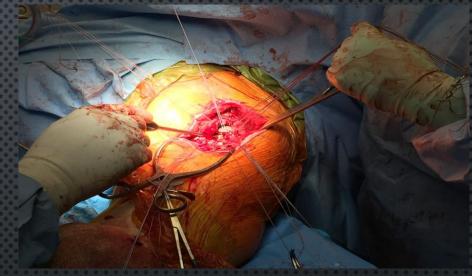
((UNIQUE)) COMPLICATIONS





## RSA – HOW TO IMPROVE YOUR RESULTS

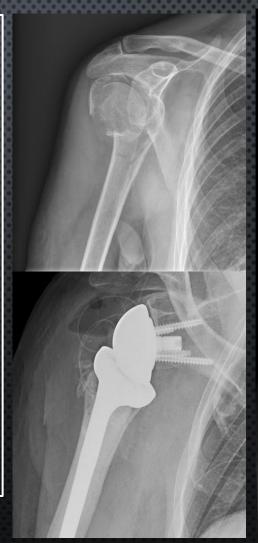
- CT PREOP.
  - EVALUATE GLENOID BONESTOCK, VERSION ETC
- **CUFF SUTURES** TO THE HUMERAL SHAFT AND HUMERAL COMPONENT
- USE HEAD FRAGMENT AS GRAFT
  - MPROVES HEALING OF THE TUBEROSITIES
- LARGE HEAD DIAMETER

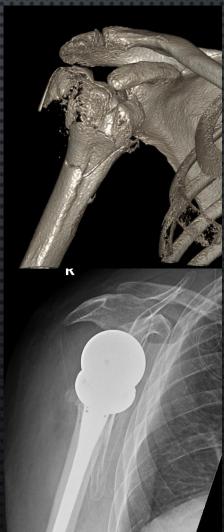




# RSA FOR FRACTURES - RESULTS

- RSA VS HA CUFF ET AL JBJS 2013
  - BETTER FUNCTIONAL OUTCOME
  - HIGHER PATIENT SATISFACTION
  - SIMILAR COMPLICATION RATE
- RSA VS ORIF GIRADELLA ET AL 2017 (COMPARATIVE STUDY)
  - BETTER ROM WITH RSA
  - HIGHER QUALITY OF LIFE-SCORES





#### DESCISION MAKING

Patient age, comorbidities and compliance

Ŧ

Fracture pattern Head viability



Age>65, low demand, non-compliante



NON OPERATIVE

ORIF – 2 or 3 part fractures RSA – 4 part fractures



Age < 65, «high demand»

NON OPERATIVE ORIF HA

#### TAKE HOME MESSAGES

- DON'T OPERATE ON RADIOGRAPHIC INDICATION ALONE!
- MOST PHF SHOULD BE TREATED NONOPERATIVELY
- WITH FIXATION THE KEY TO A GOOD RESULT IS PROPER
  REDUCTION AND STABILIZATION OF BOTH THE SOFT TISSUES
  AND THE FRACTURE.
- HEMIARTHROPLASTY ONLY FOR YOUNG PATIENTS WHERE
   THE HUMERAL HEAD IS NON-SALVAGEBLE
- RSA IS A VIABLE ALTERNATIVE FOR PATIENTS > 65Y

